

**OREGON HEALTH AUTHORITY
ADDICTIONS AND MENTAL HEALTH DIVISION
CHAPTER 415: ADDICTION SERVICES**

DIVISION 56

SUBSTANCE ABUSE AND PROBLEM GAMBLING PREVENTION PROGRAMS

415-056-0030

Purpose and Scope

(1) These rules prescribe standards and procedures for substance abuse and problem gambling prevention providers approved by the Addictions and Mental Health Division (AMH). These rules establish standards for community substance abuse and problem gambling prevention and provide that a full continuum of services be available to Oregonians either directly or through written agreements or contracts.

Statutory Authority: ORS 413.042

Statutes Implemented: ORS 430.240 - 430.415

415-056-0035

Definitions

(1) "Approval" means the Letter of Approval issued by the Division to indicate that the substance abuse prevention and/or problem gambling program has been found in compliance with all relevant federal and Oregon laws and Oregon Administrative Rules (OAR).

(2) "Community Mental Health Program (CMHP)" means an entity that is responsible for planning and delivery of services for individuals with substance use disorders or a mental health diagnosis, operated in a specific geographic area of the state under an intergovernmental agreement or direct contract with the Division.

(3) "Coordinator" means the designated county or tribal program coordinator hired to oversee prevention services.

(4) "Cultural Competence" means the process by which individuals and systems respond respectfully and effectively to people of all cultures, languages, classes, races, ethnic backgrounds, disabilities, religions, genders, sexual orientation and other diversity factors in a manner that recognizes, affirms, and values the worth of individuals, families and communities and protects and preserves the dignity of each.

(5) "Deputy Director" means the Deputy Director of AMH.

(6) "Division" means the AMH Division of the Oregon Health Authority.

(7) "Evidenced-Based Practices" (EBP) means practices for which there is consistent scientific evidence that produce positive outcomes. An EBP must meet the criteria set forth by the Division.

(8) "Gender-Specific Services" means services which comprehensively address the needs of a gender group and foster positive gender identity development.

(9) "Letter of Approval" means the "Approval" or "Certificate" as defined in 415-056-0005.

(10) "Institute of Medicine Model" means the framework that defines the target groups and activities addressed by various prevention efforts and includes the following:

(a) Promotion: Strategies that typically address the entire population. Strategies are aimed to enhance individuals' ability to achieve developmentally appropriate tasks (competence) and a positive sense of self-esteem, mastery, well-being and social inclusion, and strengthen their ability to cope with adversity;

(b) Universal Prevention: Universal strategies address the entire population with messages and programs aimed at preventing or delaying the substance abuse and/or problem gambling.

(c) Selective Prevention: Selective prevention strategies target subsets of the total population that are deemed to be at-risk for substance abuse or problem gambling by virtue of the membership in a particular population segment; and

(d) Indicated Prevention: Indicated prevention strategies are designed to prevent the onset of substance abuse or problem gambling in individuals who do not meet criteria for addiction but who are showing early danger signs.

(11) "Local Alcohol and Drug Planning Committee" (LADPC), means a committee appointed or designated by a board of county commissioners. The committee identifies needs and establishes priorities for substance abuse prevention, treatment and recovery services in the county. Members of the committee must be representative of the geographic area and include a number of minority members to reasonably reflect the proportion of need for minority services in the community.

(12) "Minority" means a participant whose cultural, ethnic or racial characteristics constitute a distinct demographic population including but not limited to members of differing cultures, languages, classes, races, ethnic backgrounds, disabilities, religions, genders or sexual orientations.

(13) "Minority Program" means a program that is designed to meet the unique prevention needs of a minority group and that provides services to individuals belonging to a minority population as defined in these rules.

(14) "Participant" means an individual who receives services under these rules.

(15) "Prevention Provider" means a governmental entity, an organization or federally recognized tribe that undertakes to establish, operate or contract for prevention services.

(16) "Prevention Service" means an integrated combination of strategies designed to prevent substance abuse and/or problem gambling and associated effects regardless of the age of participants.

(17) "Strategy" means activities targeted to a specific population or the larger community that are designed to be implemented before the onset of problems as a means to prevent

substance abuse and problem gambling or detrimental effects from occurring. The Center for Substance Abuse Prevention's strategies are defined below:

(a) Information Dissemination: This strategy provides knowledge and increases awareness of the nature and extent of alcohol and other drug use, abuse and addiction, as well as their effects on individuals, families and communities. It also provides knowledge and increases awareness of available prevention and treatment programs and services. It is characterized by one-way communication from the source to the audience with limited contact between the two;

(b) Education: This strategy builds skills through structured learning processes. Critical life and social skills include decision making, peer resistance, coping with stress, problem solving, interpersonal communication and systematic and judgmental abilities. There is more interaction between facilitators and participants than in the information dissemination strategy;

(c) Alternatives: This strategy provides participation in activities that exclude alcohol and other drugs and gambling. The purpose is to identify and offer healthy activities and to discourage the use of gambling, alcohol and drugs through these activities;

(d) Problem Identification and Referral: This strategy aims at identification of individuals who have indulged in illegal or age-inappropriate use of tobacco or alcohol or gambling and those individuals who have indulged in the first use of illicit drugs in order to assess if the individual's behavior can be reversed through education;

(e) Community Based Processes: -- This strategy provides ongoing networking activities and technical assistance to community groups or agencies. It encompasses neighborhood-based or industry led, grassroots, empowerment models using action planning and collaborative systems planning; and

(f) Environmental: This strategy establishes or changes written and unwritten community standards, codes and attitudes, thereby influencing alcohol and other drug use and gambling by the general population.

(18) "Tribal Authority" means an individual or group identified by the tribe that approves the prevention plan. Examples include a Tribal Council, Health Director or Prevention Supervisor.

Statutory Authority: ORS 413.042

Statutes Implemented: ORS 430.240 - 430.415

415-056-0040

Administrative Requirements

(1) A prevention provider that contracts directly or indirectly with the Division must comply with all related administrative rules.

(2) Subcontracted agencies must be administered by staff in accordance with standards set forth in OAR 309-014-0000 through 0025 and OAR 309-014-0030(3) through 0040.

- (3) A fee schedule may be established that approximates actual cost of service delivery. The fee schedule must assess the cost to the participant for the service in accordance with the participant's ability to pay.
- (4) A prevention provider must establish comprehensive written policies and procedures which describe program operations and compliance with these rules, and shall at minimum address the following:
- (a) A mission, vision and values statement;
 - (b) An organizational management chart;
 - (c) The prevention framework that guides the program's prevention efforts;
 - (d) An anti-discrimination policy;
 - (e) A cultural competency plan;
 - (f) Gender specific services;
 - (g) The use of substances by program participants and staff during program activities;
 - (h) Gambling by program participants and staff during program activities;
 - (i) The protection and safety of service recipients and
 - (j) A process for referring individuals who are not appropriate for prevention services to more applicable resources such as emergency and crisis services, detoxification, mental health treatment and other services within the continuum of care.
- (5) A request for certification will be considered by the Division after the CMHP or tribal authority, and the LADPC or other applicable committee has reviewed and commented on the request.
- (6) Prevention providers must provide services that incorporate evidence based practices as defined in OAR 415-056-0005.
- (7) Printed materials utilized by the program must be:
- (a) Written with consideration to the demographic make-up of the program and in cultural competent language;
 - (b) In the participant's native language; and
 - (c) Reflective of current substance abuse and gambling prevention research and practice.
- (8) The provider must report to the Division on approved standardized forms. All reporting must be done in accordance with Federal Confidentiality Regulations (42 CFR Part 2).
- (9) The provider must ensure the privacy and safety of participants where appropriate and necessary.

(10) Providers must document coordination of activities with related community partners.

Statutory Authority: ORS 413.042

Statutes Implemented: ORS 430.240 through ORS 430.415

415-056-0045

Staff Requirements

(1) The substance abuse and/or problem gambling prevention program must be administered by staff in accordance with standards set forth in these rules.

(2) The Coordinator is qualified by virtue of knowledge, training, experience and skills.

(a) The Coordinator must be credentialed as a Certified Prevention Specialist (CPS), or must acquire certification within two years from the date of hire.

(3) The Coordinator shall be employed greater than .50 FTE to carry out their responsibilities.

(4) Roles and authorities of the Coordinator include:

(a) Development, monitoring and oversight of the Prevention Implementation Plan, which shall be in compliance with the requirements set forth by the Division.

(b) Implementation of the defined strategies;

(c) Management of the program staff;

(d) Administration of funds;

(e) Accountability for the oversight and quality of prevention services; and

(f) Supervision of other staff related to their skill level with the goal of achieving the objectives of the prevention program and assisting staff to increase their knowledge, skills and abilities.

(5) Program staff providing more than .5 FTE hours of direct prevention services must:

(a) Have a CPS certification, or must acquire the certification within two years of hire;

(b) Have a workforce development plan utilized to assure compliance with these rules and to ensure each staff has opportunities to advance their prevention knowledge and skills; and

(c) Be culturally competent to serve the identified populations.

(A) Agencies who contract for the delivery of direct prevention services must assure that the contractors meet the requirements for prevention staff described in these rules.

(6) The number and responsibilities of the prevention staff must be sufficient to provide the services required under these rules, for the number of participants the program intends to serve.

Statutory Authority: ORS 413.042

Statutes Implemented: ORS 430.240 through ORS 430.415

415-056-0050

Variances

(1) Requirements and standards for requesting and granting variances or exceptions are found in OAR 415-012-0090.

Statutory Authority: ORS 413.042

Statutes Implemented: ORS 430.240 through ORS 430.415